



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

EXPRESS MAIL NO. EL146843867

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/138,920 08/24/98 ALTEN

0242/0909

CHARLES W CALKINS
KILPATRICK STOCKTON
1001 WEST FOURTH STREET
WINSTON-SALEM NC 27101

NOT ASSIGNED

2744

DATE MAILED:

09/09/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 930

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice MUST be returned with the reply.

Customer Service Center

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EXPRESS MAIL NO. EL/46843867
12657/177705
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher L. Auten et al.
Ser. No. : 09/138,920
Filing Date : August 24, 1998
For : Communication Devices and Power Packs that
Include a Light Source

ASSISTANT COMMISSIONER FOR PATENTS

Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Enclosed herewith for filing in the above-identified application are copies of the following documents:

- 1) Form PTO-1533 (Rev. 9-97) NOTICE TO FILE MISSING PARTS;
- 2) Statement Claiming Small Entity Status;
- 3) Declaration and Power of Attorney form; and
- 4) Check in the amount of \$460.00 (filing fee and surcharge).

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Charles W. Calkins
Reg. No. 31,814

Date: 10/16/98
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7300



SECTOR #

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EL146843867 US

Date of Deposit : October 20, 1998

Type of Document(s) : Response to Notice to File Missing Parts;
Verified Statement and Declaration Claiming Small Entity Status;
Copy of Form PTO-1553 Notice of File Missing Parts of Application-Filing Date Granted;
Declaration and Power of Attorney form;
Check in the amount of \$460 (filing fee and surcharge); and
Return Postcard

Serial No. : 09/138,920

Filing Date : August 24, 1998

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Debra C. Jones (signature)
Debra C. Jones